Applicant:

Michael T. Carley et al.

Serial No.:

10/616,832

Filing Date:

July 9, 2003

For:

Closure Device and Methods for Making and Using Them

Confirmation No.: 2679

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Att'y Docket No.: 16497.1.1.4

Art Unit: 3733

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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Examiner <u>Initial</u> *	Document Number	<u>Date</u>	<u>Name</u>
	287,046	10/23/1883	Norton
A2	1,088,393	02/24/1914	Backus
A3	1,331,401	02/17/1920	Summers
A4	2,087,074	07/13/1937	Tucker
A5	2,453,227	11/09/1948	James
A6	2,684,070	07/20/1954	Kelsey
A7	2,944,311	07/12/1960	Schneckenberger
A8	2,969,887	01/31/1961	Darmstadt et al.
A9	3,015,403	01/02/1962	Fuller
A10	3,142,878	08/04/1967	Santora
A11	3,482,428	12/09/1969	Kapitanov et al.
A12	3,586,002	06/22/1971	Wood
A13	3,677,243	09/24/1971	Nerz
<u>/JLS/</u> A14	3,944,114	03/16/1976	Coppens

Examiner:

/James L. Swiger/

Date Considered:

01/07/2008

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial*	Document Number	<u>Date</u>	<u>Name</u>
A15	4,014,492	03/29/1977	Rothfuss
A16	4,192,315	03/11/1980	Hilzinger et al.
A17	4,207,870	06/17/1980	Eldridge
A18	4,278,091	07/14/1981	Borzone
A19	4,318,401	03/09/1982	Zimmerman
A20	4,345,606	08/24/1982	Littleford
A21	4,411,654	10/25/1983	Boarini et al.
A22	4,412,832	11/01/1983	Kling et al.
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A24	4,505,273	03/19/1985	Braun et al.
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A26	4,525,157	06/25/1985	Valaincourt
A27	4,607,638	08/26/1986	Crainich
A28	4,610,251	09/09/1986	Kumar
A29	4,610,252	09/09/1986	Catalano
A 30	4,665,906	05/19/1987	Jervis

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Date Considered:

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Michael T. Carley et al.

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Examiner Initial*	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
A 31	4,687,469	08/18/1987	Osypka
A32	4,724,840	02/16/1988	McVay et al
A33	4,738,658	04/19/1988	Magro et al.
A34	4,744,364	05/17/1988	Kensey
A35	4,771,782	09/20/1988	Millar
A36	4,772,266	09/20/1988	Groshong
A37	4,789,090	12/06/1988	Blake, III
A38	4,832,688	05/23/1989	Sagae et al.
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A44	4,934,364	06/19/1990	Green
A45	5,015,247	05/14/1991	Michelson
A46	5,021,059	06/04/1991	Kensey et al.

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Examiner Initial*	Document Number	<u>Date</u>	<u>Name</u>
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A48	5,100,418	03/31/1992	Yoon et al.
A49	5,108,421	04/28/1992	Fowler
A50	5,114,032	05/19/1992	Laidlaw
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A52	5,131,379	07/21/1992	Sewell, Jr.
A53	5,147,381	09/15/1992	Heimerl et al.
A54	5,167,643	12/01/1992	Lynn
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A56	5,192,300	03/09/1993	Fowler
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A61	5,290,243	03/01/1994	Chodorow et al.
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Date Considered:

1/8/2007

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Art Unit: 3733

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Examiner Initial*	Document <u>Number</u>	<u>Date</u>	Name
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A80	5,470,010	11/28/1995	Rothfuss et al.
A81	5,474,557	12/12/1995	Mai
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A90	5,591,205	01/07/1997	Fowler
A91	5,601,602	02/11/1997	Fowler
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A93	5,643,318	07/01/1997	Tsukernik et al.
JA94	5,645,565	07/08/1997	Rudd et al.

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Date Considered:

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Examiner Initial*	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
A95	5,645,566	07/08/1997	Brenneman et al.
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A109	5,861,005	01/19/1999	Kontos
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Date Considered:

1/8/2008

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Examiner Initial*		Document <u>Number</u>	<u>Date</u>	Name
D	A 111	5,871,501	02/16/1999	Leschinsky et al.
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	A125	6,056,768	05/02/2000	Cates et al.
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Examiner Initial*	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
A127	6,056,770	05/02/2000	Epstein et al.
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Examiner Initial*	Document Number	Date	<u>Name</u>
A143	6,409,739	06/25/2002	Nobles et al.
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A145	6,450,391	09/17/2002	Kayan et al.
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A157	6,652,538	11/25/2003	Kayan et al.
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Form PTO-1449 Applicant:

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Examiner Document Initial* Number Date Name Ginn et al. 6,695,867 02/24/2004 A160 04/27/2004 Loshakove et al. 6,726,704 A161 6,755,842 06/29/2004 Kanner et al. Kanner et al. A162 6,767,356 07/27/2004 A163 6,780,197 02/21/2002 Carley et al. Osborne A164 RE 31,855 03/26/1985 RE 34,866 A165 02/21/1995 Kensey et al. A166 2001/0007077 07/05/2001 Ginn et al. A167 2002/0026208 02/28/2002 Belef A168 2002/0026215 02/28/2002 Redmond et al. A169 2002/0049472 04/25/2002 Coleman et al. A170 2002/0072768 06/13/2002 Ginn A171 Ginn et al. 2002/0077657 06/20/2002 A172 2002/0107542 08/08/2002 Kanner et al. A173 Kanner et al. 2002/0151921 10/17/2002 2002/0193808 12/19/2002 Belef et al.

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Examiner Initial*	Document <u>Number</u>	Publication Date	Country or Patent Office
A188	DE 297 23 736 U 1	·04/01/1999	Germany
A189	DE 197 11 288	01/10/1998	Germany
A190	EP 0 386 361	09/12/1990	EPO
A191	EP 0 534 696	03/31/1993	EPO
A192	EP 0 756 851	02/05/1997	EPO
A193	EP 0 774 237	05/21/1997	EPO
A194	EP 0 858 776	08/19/1998	EPO
A195	EP 0 941 697	09/15/1999	EPO
A196	FR 2 443 238	07/04/1980	France
A197	GB 1 358 466	07/03/1974	Great Britain
A198	GB 2 075 144	11/11/1981	Great Britain
A199	WO 96/24291	08/15/1996	PCT
A200	WO 97/07741	03/06/1997	PCT
A201	WO 98/17179	04/30/1998	PCT

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Examiner Initial*	Document <u>Number</u>	Publication Date	Country or Patent Office
A202	WO 98/25508	06/18/1998	PCT
A203	WO 99/62408	12/09/1999	PCT
A204	WO 00/71032	11/30/2000	PCT
A205	WO 00/56228	09/28/2000	PCT
A206	WO 00/06029	02/10/2000	PCT
A207	WO 01/35832	05/25/2001	PCT
A208	WO 01/49186	07/12/2001	PCT
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Other Documents (including author, title, pertinent pages, etc.)

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A212

Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

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Form PTO-1449 Applicant: Michael T. Carley et al.

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While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Form PTO-1449

Applicant: Michael T. Ca

Serial No.:

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner:

/James L. Swiger/

Date Considered:

01/07/2008

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Applicant:

Michael T. Carley, et al.

Serial No.:

10/616,832

Att'y Docket No.: 16497.1.1.4

Confirmation No.: 2679

July 9, 2003

Art Unit: 3733

Filing Date:

For:

CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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Examiner <u>Initial</u> *	S	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
	1	5,167,634	12/01/1992	Corrigan, Jr. et al.
	2	5,243,857	02/28/1995	Velez
	3	5,246,156	09/21/1993	Rothfuss et al.
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	11	6,030,364	02/29/2000	Durgin et al.
	9-12	6,428,548	08/06/2002	Durgin et al.

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1/5/09

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Examiner <u>Initial</u> *	\sim	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
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	14	6,669,714	12/30/2003	Coleman et al.
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	25	2004/0073236	04/15/2004	Carley et al.
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Michael T. Carley, et al.

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	9/27	2004/0153122	08/05/2004	Palermo
	28	2004/0153123	08/05/2004	Palermo et al.
	29	2004/0167570	08/26/2004	Pantages
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	35	2006/0020270	01/26/2006	Jabba et al.
	36	2006/0135989	06/22/2006	Carley et al.
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	38	2006/0167484	07/27/2006	Carley et al.
	★ 39	2006/0190014	08/24/2006	Ginn et al.
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1/8/08

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Applicant:

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Examiner <u>Initial</u> *	\mathcal{A}	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
	41	2006/0190038	08/24/2006	Carley et al.
	42	2006/0195123	08/31/2006	Ginn et al.
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Examiner <u>Initial</u> *	Q	Document <u>Number</u>	Publication/Filed Date
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	49	11/744,089	05/03/2007
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	50	CA 2 339 060	02/17/2000	Canada
	51	NL 9302140	07/03/1995	Netherlands
	52	S 2000/0722	10/04/2001	Ireland
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Applicant:

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	79	WO 03/71957	01/15/2004	PCT
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	81	WO 04/60169	07/22/2004	PCT
	82	WO 04/69054	08/19/2004	PCT
	83	WO 05/115521	12/08/2005	PCT
	84	WO 05/82256	09/09/2005	PCT
	285	WO 06/83889	08/10/2006	PCT

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1/8/08

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Issue Notifications, Notices of Allowance, and Office Actions

Examiner <u>Initial</u> *		Patent or Serial Number	<u>Document</u>	Mail Date
	86	2002/0072768	Office Action	08/27/2004
	87	2002/0072768	Office Action	02/23/2005
	88	2002/0072768	Office Action	04/11/2005
	89	2002/0072768	Office Action	07/27/2005
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	91	2002/0072768	Office Action	05/24/2006
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	93	2002/0072768	Office Action	04/19/2007
	94	2002/0133193	Office Action	11/04/2004
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	<u>#</u> 97	2002/0133193	Notice of Allowance	04/18/2007
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Applicant: Michael T. Carley, et al. Confirmation No.: 2679
Serial No.: 10/616,832 Att'y Docket No.: 16497.1.1.4

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For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

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<i>A</i>	Patent or Serial Number	<u>Document</u>	Mail Date
1	2003/0078598	Office Action	02/09/2005
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101	2003/0078598	Office Action	10/04/2005
102	2003/0078598	Notice of Allowance	05/10/2006
103	2003/0078598	Notice of Allowance	07/02/2007
104	2003/0195561	Office Action	06/10/2004
105	2003/0195561	Notice of Allowance	09/21/2004
106	2003/0195561	Office Action	01/03/2006
107	2003/0195561	Issue Notification	02/15/2006
108	2003/0195561	Office Action	05/16/2006
109	2003/0195561	Notice of Allowance	12/28/2006
110	2003/0195561	Notice of Allowance	07/10/2007
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Examiner:

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Applicant:

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	Patent or Serial Number	Document	Mail Date
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125	2005/0216057	Office Action	02/06/2007
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Michael T. Carley, et al.

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1	Patent or Serial Number	<u>Document</u>	Mail Date
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128	2006/0135989	Office Action	09/05/2007
129	2006/0195124	Office Action	06/06/2007
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138	6,277,140	Notice of Allowance	06/04/2001
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Examiner:

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Applicant:

Michael T. Carley, et al.

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166	6,749,621	Office Action	04/13/2004
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Examiner:

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	Patent or Serial Number	Document	Mail Date
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170	6,780,197	Notice of Allowance	03/17/2004
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180	7,001,398	Notice of Allowance	10/05/2005
7 181	7,001,398	Issue Notification	02/21/2006
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1/8/08

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\mathcal{A}	Patent or Ser <u>Number</u>	ial <u>Docume</u>	nt <u>Mail Date</u>
18:	3 7,008,435	Office Acti	on 08/10/2005
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200	7,211,101	Notice of Allowance	12/27/2006
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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner:

Date Considered:

1/8/02

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 1 of 2

Applicant:

Michael T. Carley, et al.

Serial No.:

10/616,832

Confirmation No.: 2679 Att'y Docket No.: 16497.1.1.4

Art Unit: 3733

Filing Date: For:

July 9, 2003 CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial</u> *		Document <u>Number</u>	<u>Date</u>	<u>Name</u>
	/JLS/ 1	5,092,941	03/03/1992	Miura
	/JLS/ ₂	5,335,680	08/09/1994	Moore
	/JLS/ 3	5,540,712	07/30/1996	Kleshinski, et al.
	-/JLS/ ^A	5,858,082	01/12/1999	Cruz, et al.
Issue Notifications, Notices of Allowance, and Office Actions				
Examiner <u>Initial</u> *		Patent or Serial Number	<u>Document</u>	<u>Mail Date</u>
	_/JLS/5	2006/0144479	Office Action	10/16/2007

Other Documents (including author, title, pertinent pages, etc.)

Examiner Initial*

> Stretch Comb by Scunci, retrieved via internet at www.scunci.com/productdetail by examiner on October 9, 2007, publication /JLS/ date unavailable.

/James L. Swiger/

01/07/2008

Examiner:

Date Considered:

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Sheet 2 of 2

Applicant:

Michael T. Carley, et al.

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10/616,832

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For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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Examiner:

/James L. Swiger/

Date Considered:

01/07/2008

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